Applicant: Timothy J. Daniel, et al

Group Art Unit: 2812

Serial No.: 10/615,583

Examiner: Unassigned

Filed: 07/08/2003

Confirmation No.: 8978

Atty. Docket No.: Buckfeller 15-3-3-26/075903-219

For: Apparatus and Method for Producing a <111> Orientation Aluminum Film for an Integrated Circuit Device

INFORMATION DISCLOSURE STATEMENT

[X] 1. Pursuant to 37 CFR 1.97(b) (within 3 months of filing or prior to 1st Office Action)

- [] 2. Certification Pursuant to 37 CFR 1.97(c) (before Final Office Action or Allowance)
- Fee Payment Pursuant to 37 CFR 1.97(c) (before Final Office Action or Allowance)
- [] 4. Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d) (before issue fee payment)

September 13, 2004

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The following are submitted in the above identified application in compliance with 37 CFR 1.97 and 1.98:

[X] 5. A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- [X] 6. 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action];
- [] 7. 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier; and

The required certification made in item 11 below; or $|\mathbf{X}|$ 8. The \$240.00 fee specified in 37 CFR §1.17(p) for submission of this Information []Disclosure Statement is authorized in item 14 below. 10. [] 37 CFR § 1.95(d): [before issue fee payment]; and This is a petition for consideration of the subject Information Disclosure a) Statement. The petition fee (\$130.00) required by 37 CFR 1.17(i)(1) is enclosed via check. (Direct this letter to: "Attention PETITIONS EXAMINER" and if applicable include batch locator information: e.g. "Allowed Files, Batch _____, Date of Allowance _____"), and The required Certification is stated in item 11 below. Certification X11. Each item of information contained in this Statement was cited in a communication $|\mathbf{X}|$ from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.

An original and two (2) copies of this document are enclosed.

Disclosure Statement to Deposit Account No.

ohn L. DeAngelis, Jr., Esq.

Please charge all applicable fees associated with the submittal of this Information

Respectfully submitted

Reg. No. 30,622

Beusse Brownlee Wolter Mora & Maire, P.A.

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Orlando, FL 32801

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CERTIFICATE OF MAILING

I HEREBY CERTIFY that this Information Disclosure Statement is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 13 day of September, 2004.

Pamela A. Pagel

PTO/SB/08A (08-03)

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Substitute for form 1449/PTO

Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known		
Application Number	10/615,583	
Filing Date	07/08/2003	
First Named Inventor	Timothy J. Daniel	
Art Unit	2812	
Examiner Name	Unassigned	
Attorney Docket Number	Buckfeller 15-3-3-26/075903-219	

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
	-1	^{US-} 5,439,877	08-08-1995	Face	
		US-			

Examiner	Cite	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines,	Г
Initials*	No.¹	Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages Or Relevant Figures Appear	⊤°
	2	EP 0 406 669 A3	01-09-1991	Hitachi, Ltd.		
	3	EP 0 634 782 A1	01-18-1995	Applied Materials		
	4	JP 10189429		Dainippon Screen Mfg.		1
	_5	JP 73168111		Hitachi, Ltd.		~

Examiner	Date	
Signature	Considere	d
-		-

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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TRANSMITTAL FORM (to be used for all correspondence after initial filing) Total Number of Pages in This Submission	Application Number Filing Date First Named Inventor Art Unit Examiner Name Attorney Docket Number	on of information unless it displays a valid OMB control number. 10/615,583 07/08/2003 Timothy J. Daniel 2812 Unassigned Buckfeller 15-3-3-26/075903-219
Fee Transmittal Form Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53	Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Addu Terminal Disclaimer Request for Refund CD, Number of CD(s)	After Allowance Communication to a Technology Center (TC) Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information
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	ICATE OF TRANSMISSION	vith the United States Postal Service with sufficient postage as

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